



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2226/2015/471

Dated: November 28, 2016

By Special Messenger

Md. Mahbabul Murshed (client code # 6714), client of BRB Securities Limited

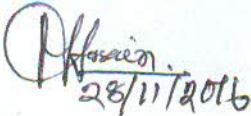
Sub: **Non-compliance of securities related laws. WARNING**

This refers to BSEC's show cause-cum-hearing notice No. BSEC/Enforcement/2226/2015/217 dated June 08, 2016 and your reply letter dated July 10, 2016.

The Commission, considering the explanation vide letter dated July 10, 2016 submitted at the time of hearing, has decided to dispose of the proceedings against Md. Mahbabul Murshed (client code # 6714), client of BRB Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


28/11/2016

Md. Hossain Khan

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC

Executive Director (Surveillance), BSEC

Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Chairman's Office

Distribution:

Chief Executive Officer, BRB Securities Limited (with a request to send the copy of the notice to Client Name: Md. Mahbabul Murshed, client code # 6714, client of BRB Securities Limited)