



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2410/2017/411

Dated: December 24, 2017

By Courier Service

Mr. Kazi Monsurul Hoque, authorized representative of BRAC EPL Stock Brokerage Limited

Attention: Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2410/2017/212 dated July 31, 2017 based on which the hearing was scheduled on August 23, 2017.

The Commission, considering your explanation vide letter dated August 23, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Kazi Monsurul Hoque by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


29/12/17
Hafiz Mohammed Harunur Rashid

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

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E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2410/2017/412

Dated: December 24, 2017

By Courier Service

Mr. Mohammad Monjurul Alam, authorized representative of BRAC EPL Stock Brokerage Limited

Attention: Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2410/2017/212 dated July 31, 2017 based on which the hearing was scheduled on August 23, 2017.

The Commission, considering your explanation vide letter dated August 23, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Mohammad Monjurul Alam by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Hafiz
24/12/17
Hafiz Mohammed Harunur Rashid

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
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BANGLADESH SECURITIES AND EXCHANGE COMMISSION

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E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2410/2017/410

Dated: December 24, 2017

By Courier Service

BRAC EPL Stock Brokerage Limited (TREC Holder No. # 011)
Room # 1002
DSE Annex Building (9th floor)
9/E, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2410/2017/212 dated July 31, 2017 based on which the hearing was conducted on August 23, 2017.

The Commission, considering your explanation vide letter dated August 23, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against BRAC EPL Stock Brokerage Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


29/12/17
Hafiz Mohammed Harunur Rashid

Deputy Director

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