



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6 C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2665/2018/65

Dated: 05 February, 2019

BRAC EPL Stock Brokerage Limited
(DSE TREC No. 11)
Room# 1002 (9th floor),
DSE Annex Building
9/E, Motijheel C/A,
Dhaka-1000.

By Special Messenger

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2665/2018/03 dated January 02, 2019 based on which the hearing was conducted on January 14, 2019.

The Commission, considering your explanation vide letter no. BESL -HO/ICC-1308 dated 14.01.19 has decided to dispose of the proceedings against BRAC EPL Stock Brokerage Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

M/F

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC