



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2463/2017/531

By Courier Service

Dated: December 05, 2018

BD Sunlife Securities Ltd. (DSE TREC # 248),  
Samabaya Bank Bhaban (8<sup>th</sup> Floor),  
9/D Motijeel C/A, Dhaka-1000.

**Attention:** Managing Director/CEO

Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2463/2017/473 dated November 12, 2018 based on which the hearing was scheduled on November 26, 2018.

The Commission, considering your explanation vide letter dated November 26, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against BD Sunlife Securities Ltd. (DSE TREC # 248) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Hafiz*  
05/12/18

**Hafiz Mohammed Harunur Rashid**

Deputy Director (Enforcement)

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