



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2668/2018/63

Dated: 05 February, 2019

BD Sunlife Securities Limited  
(TREC holder No. 248)  
Samabaya Bank Bhaban (8<sup>th</sup> floor)  
9/D, Motijheel C/A,  
Dhaka-1000.

**By Special Messenger**

**Attention:** Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2668/2018/06 dated January 02, 2019 based on which the hearing was conducted on January 14, 2019.

The Commission, considering your explanation vide letter BSSL/DSE/Enforcement/2019/0012 dated 14.01.19 has decided to dispose of the proceedings against BD Sunlife Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC

M/F