



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

SEC/Enforcement/2273/2016/410
October 06, 2016

By Special Messenger

BD Finance Securities Limited
64, Motijheel C/A (2nd Floor)
Dhaka-1000

Attn: Managing Director/CEO

Subject: Warning: Non compliance with the Securities laws in connection with raising of paid up capital

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. **SEC/Enforcement/2273/2016/262** dated June 29, 2016 and the accused's explanation letter no. BDFSL/HO/F&A/2016/0709-i dated July 18, 2016 submitted to the Commission.

The Commission, considering the accused's explanation, has decided to dispose off the proceedings against it by placing on record the Commission's dissatisfaction on the defaults/contraventions made by it with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve BD Finance Securities Limited from its' lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement)

Distribution:

Managing Director, Dhaka Stock Exchange Limited
Managing Director, Chittagong Stock Exchange Limited

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P.O to Executive Director (CI), BSEC
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Chairman's office, BSEC