



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাও, শেরে বাংলা নগর, ঢাকা

BSEC/Enforcement/2405/2017/122

Dated: April 18, 2017

**Md. Shamsul Islam Khan,**  
**Authorized Representative of**  
**Khurshid Securities Limited**  
**DSE TREC holder No.47**  
Modhumita Cinema Hall Building  
158-160, Motijheel C/A  
Dhaka-1000.

**By Special Messenger**

**Attention:** Managing Director/CEO

**Subject: Non-compliance of securities laws: Warning.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2405/2017/85 dated March 23, 2017 based on which the hearing was conducted on April 04, 2017.

The Commission, considering your explanation vide letter No. dated April 03, 2017 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

**Md. Yusuf Bhuiyan**  
Deputy Director (Enforcement)  
Phone:

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
- ✓ 5. PO to Executive Director (MIS), BSEC