

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh

BSEC/Enforcement/2589/2018/259
Dated: May 21, 2019

By Courier Service

Ali Securities Limited
DSE Membership No.105
Room # 608, Stock Exchange Building
9/F, Motijheel C/A, Dhaka - 1000

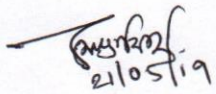
Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2589/2018/224 dated April 21, 2019 based on which the hearing was conducted on May 13, 2019.

The Commission, considering the explanation vide letter no. ASCO-LETTER-20190512-01 dated May 13, 2019 which was submitted at the time of hearing, has decided to dispose of the proceedings against Ali Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.


21/05/19

Md. Mustafizur Rahman
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Copy for information:
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