



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

By Courier Service

BSEC/Enforcement/2602/2018/ ১৫৭
Date: - March 27, 2019

✓ Client Name: Mr. Shamsul Alam
(Client Code- 91606)
Client of Al-Muntaha Trading Co. Ltd.

Attention: Managing Director/CEO

Sub: **Warning to ensure compliance of all Securities related law in future.**

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2602/2018/299** dated August 07, 2018 based on which the hearing was conducted on August 27, 2018.

The Commission, considering the explanation vide letter dated August 27, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Shamsul Alam, Client of Al-Muntaha Trading Co. Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

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Copy for information:

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