

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh.

BSEC/Enforcement/2328 /2016/449
Date: October 25, 2018

By Courier Service

AIBL Capital Market Services Ltd.
DSE Trec No- 234
Peoples Insurance Bhaban (4th & 9th Floor).
36, Dilkusha C/A, Dhaka-1000

Attention: Managing Director/CEO

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2328/2016/386 dated October 03, 2018 based on which the hearing was conducted on October 17, 2018.

The Commission, considering the explanation vide letter no- এআইবিএলসিএমএসএল/প্রকা/বিবিধ/২০১৮/১২৮৩ dated October 17, 2018 which was submitted at the time of hearing, has decided to dispose of the proceedings against AIBL Capital Market Services Ltd. & their Authorized Representative and Client by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission



Md. Mustafizur Rahman
Assistant Director
e-mail-mustafiz@sec.gov.bd
Cell-01720169842

Copy for information:

Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (Surveillance), BSEC
Executive Director (R&D), BSEC
Chairman's Office, BSEC

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Mr. Gour, AD