



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2282/2016/২২০

Dated: June 14 , 2016

AB Investment Limited
WW Tower (Level-7)
68, Motijheel C/A
Dhaka-1000.

By Special Messenger

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2282/2016/177 dated May 2, 2016 based on which the hearing was conducted on May 26, 2016.

The Commission, considering your explanation vide letter No.ABIL/HO/2016-101 dated May 26,2016 has decided to dispose of the proceedings against AB Investment Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
Phone: 9573904

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to Executive Director (Enforcement) BSEC
3. PO to Executive Director (SRI), BSEC.
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5. PO to Executive Director (MIS), BSEC
6. PO to Director (Enforcement) BSEC