



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

o/c

BSEC/Enforcement/2383/2017-548

By Courier service

Dated: December 11, 2018

A. K. Khan Securities Ltd
Fazlur Rahman Centre (7th Floor)
72 Dilkusha C/A, Dhaka-1000.

Attention: Managing Director/CEO.

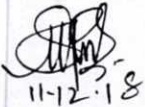
Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2383/2017-432, dated 24.10.2018 based on which the hearing was conducted on November 29, 2018.

The Commission, considering the accused apology and written explanation vide letter no-AKKSL/2018/01 dated November 28, 2018-which was submitted at the time of hearing, has decided to dispose-off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by the accused with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve A. K. Khan Securities Ltd, its Directors, Managing Director, Company Secretary and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



11-12-18

Md. Rafiqunnabi
Assistant Director
E-mail- rafiq@sec.gov.bd
Cell- 01786 327 667

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRI), BSEC
- ✓ 4. Executive Director (R&D), BSEC
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