



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2252/2015/88

Dated: March 28, 2016

By Special Messenger

ARC Securities Limited
DSE Trec No- 100
Modhumita Building (3rd Floor)
158-160, Motijheel C/A
Dhaka- 1000

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws: -Warning.

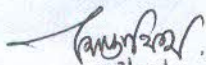
This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2252/2015/45** dated February **01, 2016** based on which the hearing was conducted on February 25, 2016.

The Commission, considering the explanation vide letter dated February 25, 2016 submitted at the time of hearing, has decided to dispose of the proceedings against ARC Securities Limited.

By placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


28/03/16

Md. Mustafizur Rahman
Assistant Director (Enforcement)

Copy for information:

Managing Director, Dhaka Stock Exchange Ltd.

Executive Director (Surveillance), BSEC

Executive Director (R&D), BSEC

Chairman's Office, BSEC