



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2249/2015/336

Dated: October 25 , 2015

Sohel Securities Limited
CSE TREC holder No.076
Finlay House (Ground Floor),
11, Agrabad C/A,
Chittagong.

By Courier Service

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2249/2015/274 dated September 16, 2015 based on which the hearing was conducted on October 08, 2015.

The Commission, considering your explanation vide letter No. dated October 05, 2015 has decided to dispose of the proceedings against Sohel Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
Phone: 9573904

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (Registration), BSEC.
4. PO to Executive Director (MIS), BSEC
5. Managing Director, Chittagong Stock Exchange Ltd.