



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2248/2015/৩৩৭

Dated: October 25, 2015

Anwar Securities Limited
DSE TREC holder No.160
Suite#518, Level-5,
DSE Annex Building,
9/E,Motijheel C/A,
Dhaka-1000

By Special Messenger

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2248/2015/280 dated September 17, 2015 based on which the hearing was conducted on October 08, 2015.

The Commission, considering your explanation vide letter No.ASL/ADM/000419/2015 dated October 07,2015 has decided to dispose of the proceedings against Anwar Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
Phone: 9573904

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (Registration), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC
5. Managing Director, Dhaka Stock Exchange Ltd.