



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

**SEC/Enforcement/2069/2014/260**

**Dated: August 20, 2015**

**By Courier Service**

**Fax: 031-2557067**

Kabir Securities Ltd.,  
IFCO Complex (4th Floor)  
1147/A GEC Circle  
CDA Avenue, East Nasirabad,  
Chittagong  
Attention: **Managing Director/CEO**

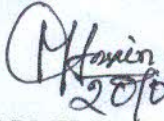
Sub: **Non-compliance of securities related laws. WARNING**

This refers to the Commission's hearing Notice No. SEC/Enforcement/2069/2014/121 dated April 09, 2015 based on which the hearing was conducted on May 11, 2015.

The Commission, considering the explanation vide letter dated May 10, 2015 submitted at the time of hearing, has decided to dispose of the proceedings against Kabir Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

  
20/08/2015

**Md. Hossain Khan**

Deputy Director

**Copy for information:**

Chief Executive Officer, Chittagong Stock Exchange Ltd.

Commissioner (Enforcement), BSEC

Executive Director (SRI), BSEC

Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Chairman's Office



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

**SEC/Enforcement/2069/2014/261**

**Dated: August 20, 2015**

**By Courier Service**

**Fax: 031-2557067**

Mr. Md. Morshedul Alam, Authorized representative,  
Kabir Securities Ltd.,  
IFCO Complex (4th Floor)  
1147/A GEC Circle  
CDA Avenue, East Nasirabad,  
Chittagong

Attention: **Managing Director/CEO**

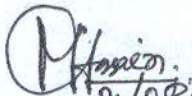
Sub: **Non-compliance of securities related laws. WARNING**

This refers to the Commission's hearing Notice No. SEC/Enforcement/2069/2014/121 dated April 09, 2015 based on which the hearing was conducted on May 11, 2015.

The Commission, considering the explanation vide letter dated May 10, 2015 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Md. Morshedul Alam, Authorized representative of Kabir Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

  
20/08/2015

**Md. Hossain Khan**

Deputy Director

**Distribution:**

Chief Executive Officer, Kabir Securities Ltd. (with a request to send the copy of the notice to Mr. Md. Morshedul Alam, Authorized representative of Kabir Securities Ltd..)

**Copy for information:**

Chief Executive Officer, Chittagong Stock Exchange Ltd.

Commissioner (Enforcement), BSEC

Executive Director (SRI), BSEC

Executive Director (Law), BSEC

✓ Executive Director (MIS), BSEC

Chairman's Office