



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2058/2014/159

Dated: May 19, 2015

By Special Messenger

Trustee Securities Limited
TREC No-162
Room No-527 & 528
DSE Annex Building
9/E, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws.-Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2058/2014/ 116 dated April 06, 2015 based on which the hearing was conducted on April 22, 2015.

The Commission, considering the explanation vide letter dated April 22, 2015 submitted at the time of hearing, has decided to dispose of the proceedings against Trustee Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Shahnaws
Assistant Director

Copy for information:

- P.O to Director (Enforcement), BSEC
- P.O to Executive Director (Enforcement), BSEC
- ✓ P.O to Executive Director (MIS), BSEC
- P.O to Commissioner (Enforcement), BSEC
- Chairman's office, BSEC