



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

**BSEC/Enforcement/2058/2014/158**

**Dated: May 19, 2015**

**By Special Messenger**

Fareast Stocks & Bonds Limited  
TREC No-226  
Eunoos Trade Centre (Level-8)  
52-53, Dilkusha C/A,  
Dhaka-1000

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws.-Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2058/2014/115 dated April 06, 2015 based on which the hearing was conducted on April 22, 2015.

The Commission, considering the explanation vide letter dated April 22, 2015 submitted at the time of hearing, has decided to dispose of the proceedings against Fareast Stocks & Bonds Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Shahnaws  
Assistant Director

**Copy for information:**

- P.O to Director (Enforcement), BSEC
- P.O to Executive Director (Enforcement), BSEC
- ✓ P.O to Executive Director (MIS), BSEC
- P.O to Commissioner (Enforcement), BSEC
- Chairman's office, BSEC