



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

SEC/Enforcement/1195/2013/150

April 19, 2016

By Courier

S.R Capital Limited
(CSE Member # 38)
Ananda Tower (3rd floor)
Jail Road
Sylhet

Attention: Managing Director/CEO

Subject: Review: Non-compliance of Regulation 4(1) of Chittagong Stock Exchange (Short-Sale) Regulations, 2005

Dear Sir,

This refers to your review petition No.NIL dated January 18, 2015 and Commission's Penalty Order No. SEC/Enforcement/1195/2013/742 dated December 31, 2014.

The Commission has considered your review petition under Section 26 of the Securities and Exchange Ordinance, 1969 and reduced the penalty from Tk.5.00 Lac to Tk.2.00 Lac.

In this connection, you are requested to deposit the penalty for Tk.2.00 (One) lac within fifteen days from the issuance of this letter.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement)

Distribution:

Managing Director, Chittagong Stock Exchange Limited

Copy for information:

P.O to Commissioner (Enforcement), BSEC

P.O to Executive Director (Surveillance), BSEC

P.O to Executive Director (MIS), BSEC

Chairman's office, BSEC