



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2219/2015/86

Dated: March 19, 2015

By Special Messenger

Pubali Bank Securities Limited
TREC No-214
23, Motijheel C/A ,
A-A Bhaban (7th Floor)
Dhaka Division
Dhaka-1000

Attention: **Managing Director/CEO**

Subject: Pubali Bank Securities Limited (DSE TREC No. 214): Non-compliance of securities related laws: Disposal of the alleged matter.

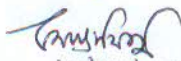
Dear Sir,

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2219/2015/58** dated February 24, 2015 based on which hearing conducted on March 04, 2015 and your explanations/submissions letter dated March 04, 2015.

The Commission, considering your explanations/submissions, has decided to dispose off the proceedings against you.

Please note that this disposal does not absolve you or any of your directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission.


19/03/15

Md. Mustafizur Rahaman

Assistant Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.

Commissioner (Enforcement), BSEC

Executive Director (Surveillance), BSEC

Executive Director, (Registration), BSEC

Executive Director, (SRI), BSEC

✓ Director, (R&D,MIS), BSEC

Director (Enforcement), BSEC

Chairman's Office, BSEC