

SEC/Enforcement/2192/2014/09  
Dated: January 18, 2015

**By Courier Service**

Skys Securities Limited.  
CSE Member # 084  
Salam Tower (3<sup>rd</sup> Floor),  
57, Agrabad C/A,  
Chittagong

**Attention:** Managing Director/CEO

**Sub: Non-compliance of securities laws: -Warning.**

This refers to the Commission's show cause cum hearing notice No. SEC/Enforcement/2192/2014/685 dated November 11, 2014 based on which the hearing was conducted on December 29, 2014.

The Commission, considering the explanation vide letter dated December 29, 2014 submitted at the time of hearing, has decided to dispose of the proceedings against Skys Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahman  
Assistant Director (Enforcement)

Copy for information:  
Managing Director, Dhaka Stock Exchange Ltd.  
Executive Director (SRI), BSEC  
Executive Director (R&D), BSEC  
Chairman's Office, BSEC