

No. SEC/Enforcement/1108/2013/12
January 18, 2015

By Courier Service

Janata Capital and Investment Limited
Janata Bank Limited
Head Office, 110 Motijheel C/A
Dhaka - 1000.

Attention: Managing Director/CEO

Subject: Show cause cum notice for hearing: Non-compliance of securities related laws: Warning.

This refers to the Commission's show cause cum hearing notice No. SEC/Enforcement/1108/2013/603 dated September 8, 2014 and submission the reply of above matter to the Commission vide letter Ref no : JCIL/BSEC-Enforcement dated September 18, 2014.

The Commission, considering your subsequent compliance, has decided to dispose of the proceedings against Janata Capital and Investment Limited and others concerned by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the said default.

For Bangladesh Securities and Exchange Commission

Mohammad Siddiqur Rahman
Deputy Director

Copy for information:

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Managing Director, Chittagong Stock Exchange Ltd.
Executive Director (Surveillance), Bangladesh SEC
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