



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/1008/2013/146

Dated: April 17, 2016

Green Delta Securities Limited
CSE TREC holder No.130
Green Delta Aims Tower (2nd Floor)
51-52, Mohakhali C/A
Dhaka-1212.

By Special Messenger

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/1008/2013/46 dated February 01, 2016 based on which the hearing was conducted on February 25, 2016.

The Commission, considering your explanation vide letter No.GDSL/HO/2016/226 dated February 24,2016 has decided to dispose of the proceedings against Green Delta Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
Phone: 9573904

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
5. ✓ PO to Executive Director (MIS), BSEC