



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2283/2016/ 147

Dated: April 17 , 2016

**Crest Securities Limited**  
**DSE TREC holder No.08**  
57, Purana Paltan (2<sup>nd</sup> Floor)  
Dhaka-1000.

**By Special Messenger**

**Attention:** Managing Director/CEO

**Subject: Non-compliance of securities laws: Warning.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/1008/2013/56 dated February 08, 2016 based on which the hearing was conducted on February 25, 2016.

The Commission, considering your explanation vide letter No.CRS/BSEC/2016/208 dated February 25,2016 has decided to dispose of the proceedings against Crest Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

**Md. Yusuf Bhuiyan**  
Deputy Director (Enforcement)  
Phone: 9573904

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
- ✓ 5. PO to Executive Director (MIS), BSEC