



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2220/2015/19

Date- January 17, 2016

By Special Messenger

Client Name: Mr. Rubaiyat Khaled Tashfin

Client Code-785

Prime Islami Securities Ltd.

DSE TREC Holder No-104

Raj Bhaban(7th Floor)

29, Dilkusha, C/A

Dhaka-1000, Bangladesh

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2220/2015/427** dated- December 07, 2015 based on which hearing conducted on December 30, 2015 and your explanations/submissions letter dated December 30, 2015.

The Commission, considering your explanations/submissions, has decided to dispose off the proceedings against you.

Please note that this disposal does not absolve you or any of your directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahaman

Assistant Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.

Executive Director, (Surveillance), BSEC

Director, (R&D), BSEC

Chairman's Office, BSEC