



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2111/2014/307,

Dated: October 13, 2015

T.k.Shares & Securities Limited
CSE TREC holder No.069
83,Khatungonj,
Chittagong..

By Courier Service

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2111/2014/251 dated May 27, 2014 based on which the hearing was conducted on June 09, 2014.

The Commission, considering your explanation vide letter No. dated June 09,2014 has decided to dispose of the proceedings against T.K.Shares & Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
Phone: 9573904

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (Registration), BSEC.
4. PO to Executive Director (MIS), BSEC
5. Managing Director, Chittagong Stock Exchange Ltd.