



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

**BSEC/Enforcement/2201/2014/2011**  
**July 12, 2015**

**By Special Messenger**

Client Name: Mozammel Haque Bhuiyan, Client Code: 01216, Name of the broker: Sharp Securities Limited  
Client Name: Mozammel Haque Bhuiyan, Client Code: I231, Account No. : 4005000231225, Name of the broker:  
AB Bank Investment Limited

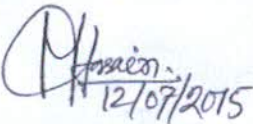
**Sub: Non-compliance of securities related laws: Disposal of the alleged matter.**

This refers to the Commission's show cause cum hearing notice no. SEC/Enforcement/2201/2014/726 dated 21/12/2014 based on which the hearing was conducted on January 08, 2015.

The Commission, considering the explanation vide letter dated January 08, 2015 submitted at the time of hearing, has decided to dispose off the proceedings against you.

Please note that this disposal does not absolve you or any of its directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission

  
12/07/2015

**Md. Hossain Khan**

Deputy Director

**Distribution:**

1. Chief Executive Officer, **Sharp Securities Limited** (with a request to send the copy of the notice to Client Name: Mozammel Haque Bhuiyan, Client Code: 01216 of **Sharp Securities Limited**)
2. Chief Executive Officer, **AB Bank Investment Limited** (with a request to send the copy of the notice to Client Name: Mozammel Haque Bhuiyan, Client Code: I231 of **AB Bank Investment Limited**)

**Copy for information:**

Commissioner (Enforcement), BSEC

Executive Director (Surveillance), BSEC

Executive Director (Law), BSEC

✓ Executive Director (MIS), BSEC

Chairman's Office