



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2201/2014/212

July 12, 2015

By Special Messenger

Client Name: Muhammad Abdur Razzaque, Client Code:M344, BOID :1204500030444784, Name of the broker: City Brokerage Limited

Client Name: Muhammad Abdur Razzaque, Client Code:4255, BOID :1204500045183282, Name of the broker: City Brokerage Limited

Client Name: Muhammad Abdur Razzaque, Client Code: 1337, Name of the merchant bank: Unicap Investment Limited

Client Name: Muhammad Abdur, Client Code: 1382, Name of the merchant bank: Unicap Investment Limited

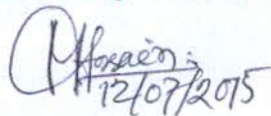
Sub: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice no. SEC/Enforcement/2201/2014/726 dated 21/12/2014 based on which the hearing was conducted on January 08, 2015.

The Commission, considering the explanation vide letter dated January 08, 2015 submitted at the time of hearing, has decided to dispose off the proceedings against you.

Please note that this disposal does not absolve you or any of its directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission


12/07/2015

Md. Hossain Khan

Deputy Director

Distribution:

1. Chief Executive Officer, **City Brokerage Limite** (with a request to send the copy of the notice to Client Name: Muhammad Abdur Razzaque, Client Code:M344 of **City Brokerage Limite**)
2. Chief Executive Officer, **City Brokerage Limite** (with a request to send the copy of the notice to Client Name: Muhammad Abdur Razzaque, Client Code:4255 of **City Brokerage Limite**)
3. Chief Executive Officer, **Unicap Investment Limited** (with a request to send the copy of the notice to Client Name: Muhammad Abdur Razzaque, Client Code: 1337 of **Unicap Investment Limited**)
4. Chief Executive Officer, **Unicap Investment Limited** (with a request to send the copy of the notice to Client Name: Muhammad Abdur, Client Code: 1382of **Unicap Investment Limited**)

Copy for information:

Commissioner (Enforcement), BSEC

Executive Director (Surveillance), BSEC

Executive Director (Law), BSEC

✓ Executive Director (MIS), BSEC

Chairman's Office