



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2250/2015/431

Dated: December 07, 2015

Hallmark Securities Limited
CSE TREC holder No.117
Samabaya Bank Bhaban (7th floor),
9-D, Motijheel C/A,
Dhaka-1000.

By Special Messenger

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2250/2015/275 dated September 16, 2015 based on which the hearing was conducted on November 12, 2015.

The Commission, considering your explanation vide letter No. dated November 12, 2015 has decided to dispose of the proceedings against Hallmark Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan
Deputy Director (Enforcement),
Phone: 9573904

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
5. PO to Executive Director (MIS), BSEC