



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

SEC/Enforcement/2141/2014/302
October 07, 2015

First Securities Services Limited
Al-Raji Complex, Suite G - 602 & 603 (6th Floor)
166-167, Shaheed Syed Nazrul Islam Sarani
Purana Paltan, Dhaka-1000

Attention: **Managing Director/CEO**

Subject: Rejection of appeal: Non-compliance of Rule-19 of Securities and Exchange Commission (Public Issue) Rules, 2006

Dear Sir,

This refers to your review petition dated July 12, 2015 and Commission's penalty order No SEC/Enforcement/2141/2014/16 dated January 20, 2014.

The Commission has considered your request as review petition under section 26 of the Securities and Exchange Ordinance, 1969 and Commission has rejected the appeal submitted in the review petition and decided to uphold its earlier decision of penalty as conveyed to you vide penalty order No SEC/Enforcement/2141/2014/16 dated January 20, 2014.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

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