



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 D, KUSHTIA C.A. DHAKA-1000, BANGLADESH

<sup>366</sup>  
**BSEC/Enforcement/2245/2015/566**

**Dated: November 03, 2015**

**By Courier Service**

Mr. Mohammad Azam  
Sponsor Director  
Social Islami Bank Limited  
House # 84, 7/A Satmasjid Road, Dhaka

Attention: Mr. Mohammad Azam (Sponsor Director, Social Islami Bank Limited).

**Sub: Non-compliance of securities laws: -Warning.**

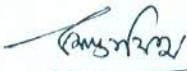
This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2245/2015/262** dated **August 23, 2015** based on which the hearing was conducted on October 25, 2015.

The Commission, considering the explanation vide letter dated October 25, 2015 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Mohammad Azam (Sponsor Director, Social Islami Bank Limited)

By placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
03.11.15

**Md. Mustafizur Rahman**  
**Assistant Director (Enforcement)**

**Copy for information:**

Managing Director, Dhaka Stock Exchange Ltd.  
Executive Director (SRI), BSEC  
Executive Director (R&D), BSEC  
Chairman's Office, BSEC



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 D, KUSHTIA C.A., DHAKA-1000, BANGLADESH

<sup>367</sup>  
**BSEC/Enforcement/2245/2015/567**  
**Dated: November 03, 2015**

By Courier Service

Mr. M. Kamal Uddin  
Sponsor Director  
Social Islami Bank Limited  
House # 84, 7/A Satmasjid Road, Dhaka

Attention: Mr. M. Kamal Uddin (Sponsor Director Social Islami Bank Limited).

**Sub: Non-compliance of securities laws: -Warning.**

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2245/2015/262** dated **August 23, 2015** based on which the hearing was conducted on October 25, 2015.

The Commission, considering the explanation vide letter dated October 25, 2015 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. M. Kamal Uddin (Sponsor Director, Social Islami Bank Limited).

By placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
03.11.15

**Md. Mustafizur Rahman**  
**Assistant Director (Enforcement)**

**Copy for information:**

Managing Director, Dhaka Stock Exchange Ltd.  
Executive Director (SRI), BSEC  
Executive Director (R&D), BSEC  
Chairman's Office, BSEC