



**October-December 2007**



**Securities and Exchange Commission  
Bangladesh**





**SECURITIES  
AND  
EXCHANGE  
COMMISSION**

**Quarterly  
Review**

October - December 2007

**A publication of the SEC**

**Securities and Exchange Commission**

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## CAPITAL MARKET NEWS

### | The Commission |

As a regulator of capital market of Bangladesh the Securities and Exchange Commission (SEC) was established in June 1993 through enactment of the Securities and Exchange Commission Act, 1993. The missions of SEC are:

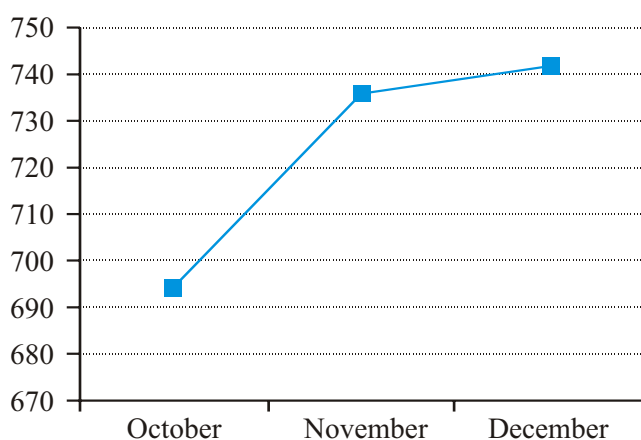
- Ensuring proper issuance of securities;
- Protection of the interest of investors in securities;
- Development of the capital and securities markets and
- Regulation of the capital and securities markets in Bangladesh.

### Market Performance

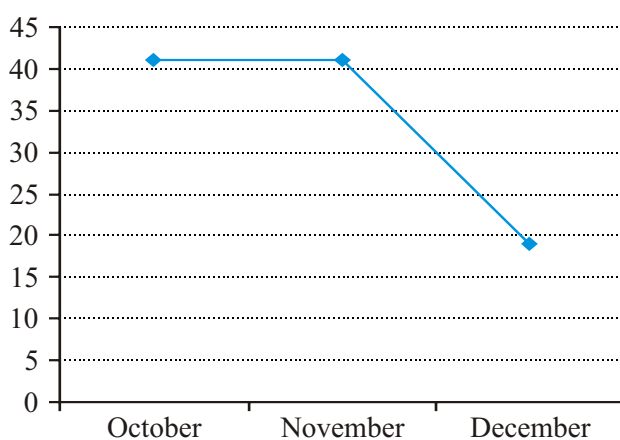
#### Dhaka Stock Exchange:

As on the end of October-December, 2007 period the total issued capital of all listed securities including treasury bond with the Dhaka Stock Exchange Ltd. was Tk. 2,14,472 million compared to Tk. 1,99,996 million as on the closing date of previous Quarter registering an increase of 7.24%. The market capitalization of all listed securities of Dhaka Stock Exchange Ltd. increased from Tk. 6,28,671 million at 30 September, 2007 to Tk.7,53,955 million at 31 December, 2007 an increase of 19.93%. The total turnover in Dhaka Stock Exchange was 662 million securities amounting to Tk. 1,02,588.75 million during this Quarter. The said increase has been depicted in the following graphs.

—■— Market Capitalization Taka in Billion



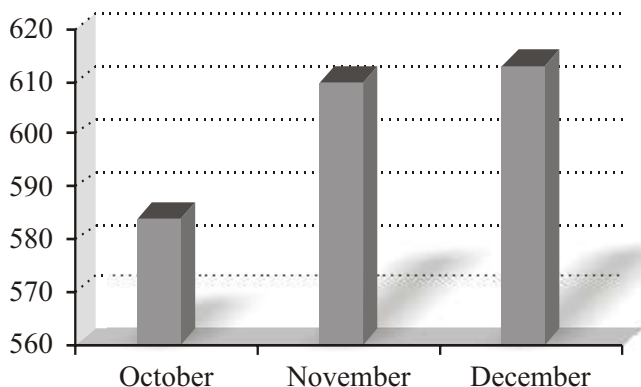
—◆— Turnover of securities (value) Taka in Billion



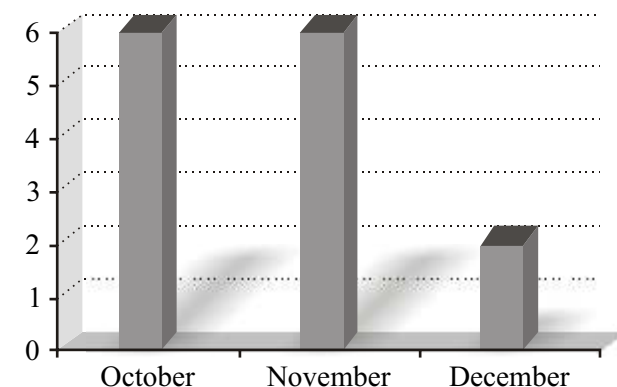
### Chittagong Stock Exchange:

As on the end of October-December, 2007 period the total issued capital of all listed securities with the Chittagong Stock Exchange Ltd. was Tk. 89,173.87 million compared to Tk. 83,420 million as on the closing date of previous Quarter registering an increase of 6.89%. The market capitalization of all listed securities of Chittagong Stock Exchange Ltd. increased from Tk. 4,90,519 million at 30 September 2007 to Tk. 6,12,582 million during the Quarter ended on 31 December 2007 an increase of 24.88%. In the same period the total turnover in Chittagong Stock Exchange was 143.98 million securities amounting to Tk. 15,876.06 million. The said increase has been depicted in the following graphs.

**Market Capitalization** *Taka in Billion*



**Turnover of Securities (value)** *Taka in Billion*



The activities of the Securities and Exchange Commission (SEC) for October-December 2007 period have been furnished below:

### Orientation Program for Economic Reporters Forum:

The Securities and Exchange Commission arranged an orientation program for Economic Reporters Forum on November 26, 2007 at SEC's Conference Room. Faruq Ahmad Siddiqi, Chairman, SEC presided over the program. SEC Chairman exchanged views concerning dissemination of capital market related information to the economic reporters. Senior officials of SEC made presentation on regulatory framework, rights of shareholder and how to read financial statements respectively in the program.

### Meeting of Advisory Committee:

The meeting of SEC's Advisory Committee was held at SEC Conference Room on 12 December, 2007. Faruq Ahmad Siddiqi, Chairman, SEC chaired the meeting. The meeting dwelt on existing capital market scenario, alternative system of IPO pricing, review of notifications concerning mandatory raising of capital through IPO etc. Among others, Additional Secretary Banking Division, Ministry of Finance, Deputy Governor Bangladesh Bank, President-ICMAB, Managing Director-CDBL, Chairman-BAPLC, Chairman-BLFCA, Secretary General FBCCI, President-DCCI, President-DSE, President-CSE were present in the meeting.

### Seminar on 'Derivatives':

Chittagong Stock Exchange Ltd. arranged a seminar on 'Derivatives' at SEC Conference Room. Members and officials of SEC attended the seminar. Arup Mukherjee, Assistant Vice President, National Stock Exchange Ltd. India gave a presentation on Derivatives and answered questions in the program. Among others M K M Mohiuddin, ex-CSE President and CEO of CSE were also present in the program.



### **Investors' Education Program:**

SEC conducts Investors' Education Program on regular basis (twice a month) for creating awareness among the general investors of securities market at SEC premises throughout the year. During October-December 2007 Quarter a total of 153 investors participated in the said programs. The course included regulatory framework, rights of shareholder, mutual fund, investment procedures in primary and secondary market, surveillance system, and central depository system. Senior SEC Officials made presentation and responded to the questions of the participants.

### **Training Program for Authorized Representatives:**

The integrity of the financial services industry depends heavily on the professional competence of its participants. Sound professional competence requires training and education. In order to educate and train market participants, the Commission, in association with the Dhaka Stock Exchange arranged training for authorized representatives of the members of Dhaka Stock Exchange on 3-4 October and 18-19 November, 2007 at DSE. 106 authorized representatives attended the said program.

Senior officials of SEC and DSE conducted aforesaid programs highlighting securities laws, particularly issuance, trading and settlement of securities transaction on stock exchanges.

## **| Dhaka Stock Exchange Ltd. (DSE) |**

### **New Nominated Director of DSE:**

As per Dhaka Stock Exchange (Board and Administration) Regulations, 2000, Latifur Rahman, President of Metropolitan Chamber of Commerce and Industry (MCCI) and A K M Rafiqul Islam, President of Bangladesh Insurance Association joined as new nominated Director of DSE on 23 October and 03 December, 2007 respectively.

### **New DSE Member:**

International Leasing and Financial Services Ltd and PHP Stocks and Securities Ltd. have become the new members of DSE.

### **DSE Branch Office Opened in Chittagong:**

Dhaka Stock Exchange Ltd opened its branch office at Shafi Bhaban in Agrabad Commercial Area, Chittagong. As chief guest Faruq Ahmad Siddiqi, Chairman, SEC inaugurated the Office on 22 November, 2007. DSE President Abdullah Bokhari presided over the inaugural program. Among others, President Chittagong Chamber of Commerce and Industries, President, Chittagong Stock Exchange Ltd. and others senior officials of SEC and DSE were also present in the program.

### **DSE Team Visited India, Pakistan bourses and CDS Companies:**

During 29 October to 02 November, 2007 a team of DSE consisted of Satipati Moitra, Chief Financial Officer and A. S. M Khairuzzaman, Head of ICT visited National Securities Depository Limited, NSE Limited and NSCC in India to acquire the first hand knowledge on infrastructure, working mechanism and information flow related with securities and cash settlement. The team also visited Central Depository Pakistan Limited, Karachi Stock Exchange Limited and NCC Pakistan in Pakistan during 12- 15 November, 2007.



**Investors' Awareness Program:**

DSE arranged Investors' Awareness Program at its Training Academy on 29 October, 2007. Fifty investors attended the program. Mansur Alam, Member, SEC gave an overview on country's securities market and answered the questions raised by the participants. Salahuddin Ahmad Khan CEO, DSE conducted the program.

## | Chittagong Stock Exchange Ltd. (CSE) |

**New Member of CSE:**

The board of directors of Chittagong Stock Exchange Ltd. approved the membership of Green Delta Company, Phoneix Finance and Investment Ltd., National Credit and Commerce Bank Ltd. and Shahjalal Islami Bank Ltd.. The said companies purchased the membership of CSE through open bidding.

**Training Program on 'Financial Derivatives':**

Chittagong Stock Exchange Ltd. and National Stock Exchange Ltd. India (NSE, India) jointly organized four-day training program on Financial Derivatives in Chittagong during 23-26 November, 2007. In the inaugural speech CSE President, Nasir Uddin Ahmad stressed for launching derivatives in Bangladesh Capital Market. He discussed the importance of Derivatives to manage risk and to increase liquidity in the securities market. He hoped that said training would help stock exchange and stock-brokers to prepare themselves to launch derivative products in the country's stock market. Arup Mukherjee Assistant Vice President of National Stock Exchange Ltd. India conducted the training program. More than fifty participants from CSE and other market intermediaries attended the program.

CSE also arranged another seminar on 'Financial Derivatives' for the reporters of print and electronic media at CSE Conference Room on 26 November, 2007.



## CORPORATE FINANCE

During October-December, 2007 Corporate Finance Department of the Commission has accomplished the following activities.

- Nine companies were referred to Enforcement Department for necessary action concerning qualifying audit report as well as Commission's observation on the financial statements.
- Explanation sought from fourteen companies on the basis of observations of the commission as well as qualified audit report on the audited financial statements submitted by the companies.
- Twenty two companies were referred to Enforcement Department for necessary action for not submitting audited financial statements for the year ended 31 December, 2006 to the Commission.
- Another company was referred to Enforcement Department for necessary action for non submission of half-yearly financial statements for the half-year ended on 30 June, 2007.
- Explanation sought from four auditors relating to audited financial statements of issuer companies.
- Two auditors were referred to Enforcement Department for necessary action against regarding audit of financial statements of issuer companies.
- A company was requested to revise the half-yearly financial statements in accordance with the provision of BAS-34.
- Two auditors were referred to Enforcement Department for not verifying the inventory of issuer companies and failure to provide necessary disclosure in this regard.
- The Commission regretted to accord clearance to four companies for retention of existing auditors for the year 2007-2008.
- Time extension for submission of audited financial statements for the year ended on 30 June, 2007 to 17 companies were regretted.

## CAPITAL ISSUE DEPARTMENT

During October-December 2007 period no security was issued through public offering.

### Capital Raising

During this Quarter the Commission accorded consent to the following two private limited and four public limited companies to raise capital which capital exceeded Taka 100 million and 10 million respectively:

#### Private Limited Company:

| SL. No.      | Name of the Company               | Applied for                           | Consent Letter Issued on | Amount in Million Tk. |
|--------------|-----------------------------------|---------------------------------------|--------------------------|-----------------------|
| 1            | Summit Surma Petroleum Co. Ltd.   | Ordinary Shares of Tk. 165.88 million | 25.10.2007               | 165.88                |
| 2            | Everest Power Generation Co. Ltd. | Preference Shares of Tk. 250 million  | 18.12.2007               | 250                   |
| <b>Total</b> |                                   |                                       |                          | <b>415.88</b>         |

#### Public Limited Company:

| SL. No.      | Name of the Company          | Applied for                          | Consent Letter Issued on | Amount in Million Tk. |
|--------------|------------------------------|--------------------------------------|--------------------------|-----------------------|
| 1.           | Maksons Spinning Mills Ltd.  | Ordinary Shares of Tk.90.00 million  | 13.11.2007               | 90.00                 |
| 2.           | Unique Power Plant Ltd.      | Ordinary Shares of Tk.450.00 million | 03.12.2007               | 450.00                |
| 3.           | Seamark (Holdings) Ltd.      | Ordinary Shares of Tk.249.68 million | 22.10.2007               | 249.68                |
| 4.           | Bangladesh Publications Ltd. | Ordinary Shares of Tk.235.00 million | 01.10.2007               | 235.00                |
| <b>Total</b> |                              |                                      |                          | <b>1,024.68</b>       |



## SUPERVISION AND REGULATION OF MARKETS AND INTERMEDIARIES

During October-December, 2007 the following companies held AGM and declared dividend:

| Sl. No.                         | Name                                     | Date of AGM | Cash Dividend | Stock Dividend                             | Year End           |
|---------------------------------|--|-------------|---------------|--|--------------------|
| <b>Banks</b>                    |  |             |               |  |                    |
| 1.                              | IFIC Bank Ltd.                           | 25.10.07    | -             | (10%+10%+10%+24%)=54% but 65% cumulatively | 31.12.03, 04,05,06 |
| 2.                              | Rupali Bank Ltd.                         | 05.12.07    | -             | -  | 31.12.06           |
| 3.                              | Midas Financing Ltd.                     | 13.12.07    | 5%            | 10%  | 30.06.07           |
| <b>Engineering</b>              |  |             |               |  |                    |
| 4.                              | Aftab Automobiles                        | 17.12.07    | 6%            | 10%  | 31.08.07           |
| 5.                              | Atlas Bangladesh Ltd.                    | 13.12.07    | 125%          | 25%  | 30.06.07           |
| 6.                              | Bangladesh Autocars Ltd.                 | 30.12.07    | 3%            | -  | 30.06.07           |
| 7.                              | Monno Jutex Industries Ltd.              | 24.12.07    | 15%           | -  | 30.06.07           |
| 8.                              | National Polymer Industries Ltd.         | 06.12.07    | 18%           | -  | 30.06.07           |
| 9.                              | National Tubes Ltd.                      | 19.11.07    | 10%           | 30%  | 30.06.07           |
| 10.                             | Olympic Industries Ltd.                  | 27.12.07    | 11%           | -  | 30.06.07           |
| 11.                             | Quasem Drycells Ltd.                     | 30.12.07    | 10%           | -  | 30.06.07           |
| 12.                             | Wonderland Toys Ltd.                     | 26.12.07    | -             | -  | 30.06.07           |
| 13.                             | Renwick, Jajneswar & Co. (Bd) Ltd.       | 14.12.07    | 6%            | -  | 30.06.07           |
| <b>Food and Allied Products</b> |  |             |               |  |                    |
| 14.                             | Amam Sea Food Industries Ltd.            | 18.12.07    | -             | -  | 30.06.07           |
| 15.                             | Bangas Ltd.                              | 27.12.07    | 15%           | -  | 30.06.07           |
| 16.                             | Bangladesh Plantation Ltd.               | 26.12.07    | 14%           | --   | 30.06.07           |
| 17.                             | Bionic Seafood Exports Ltd.              | 27.12.07    | -             | --   | 31.12.06           |
| 18.                             | Chittagong Vegetable Oil Industries Ltd. | 13.12.07    | -             | -  | 30.06.07           |
| 19.                             | Dhaka Fisheries Ltd.                     | 13.12.07    | -             | -  | 30.06.07           |

| Sl. No. | Name                               | Date of AGM | Cash Dividend | Stock Dividend | Year End |
|---------|------------------------------------|-------------|---------------|----------------|----------|
| 20      | Fine Foods Ltd.                    | 17.12.07    | -             | -              | 30.06.07 |
| 21.     | Fu-Wang Foods Ltd.                 | 17.12.07    | 5%            | -              | 30.06.07 |
| 22.     | Gachihata Aquaculture Farms Ltd.   | 26.12.07    | -             | -              | 30.06.07 |
| 23.     | Gemini Seafood Ltd.                | 18.12.07    | 25%           | -              | 30.09.07 |
| 24.     | Gulf Foods Ltd.                    | 30.12.07    | -             | -              | 30.06.07 |
| 25.     | Hill Plantation Ltd.               | 26.12.07    | 12%           | -              | 30.06.07 |
| 26.     | Rahima Food Corporation Ltd.       | 27.12.07    | -             | -              | 30.06.07 |
| 27.     | Rangamati Food Products Ltd.       | 24.12.07    | -             | -              | 30.06.07 |
| 28.     | Tripti Industries Ltd.             | 27.12.07    | -             | -              | 30.06.07 |
| 29.     | Tulip Dairy and Food Products Ltd. | 30.12.07    | -             | -              | 30.06.07 |
| 30.     | Yusuf Flour Mills Ltd.             | 19.12.07    | -             | 3%             | 30.06.07 |
| 31.     | Zeal Bangla Sugar Mills Ltd.       | 29.12.07    | -             | -              | 30.06.07 |
| 32.     | Bangladesh Leaf Tobacco Co. Ltd.   | 29.12.07    | -             | -              | 31.12.06 |
| 33.     | Agricultural Marketing Co. Ltd     | 27.12.07    | 26%           | -              | 30.06.07 |
| 34.     | Shyampur Sugar Mills Ltd.          | 15.12.07    | -             | -              | 30.06.07 |

#### Textile

|     |  |          |     |     |          |
|-----|--|----------|-----|-----|----------|
| 35. | Al-Haj Textile Mills Ltd.              | 27.12.07 | -   | 20% | 30.06.07 |
| 36. | Alltex Industries Ltd.                 | 18.12.07 | -   | -   | 30.06.07 |
| 37. | Anlima Yarn Dyeing Ltd.                | 29.12.07 | -   | -   | 30.06.07 |
| 38. | Apex Weaving & Finishing Mills Ltd.    | 11.12.07 | 10% | -   | 30.06.07 |
| 39. | Ashraf Textile Mills Ltd.              | 29.12.07 | -   | -   | 30.06.07 |
| 40. | Dandy Dyeing Ltd.                      | 02.12.07 | -   | -   | 31.12.06 |
| 41. | Delta Spinners Ltd.                    | 27.12.07 | 10% | -   | 30.06.07 |
| 42. | Desh Garments Ltd.                     | 27.12.07 | -   | -   | 30.06.07 |
| 43. | Dulamia Cotton Spinning Mills Ltd.     | 15.12.07 | 5%  | -   | 30.06.07 |
| 44. | Egale Star Textile Mills Ltd.          | 12.12.07 | -   | -   | 30.06.07 |
| 45. | GMG Industrial Corporation Ltd.        | 18.12.07 | -   | -   | 30.06.07 |
| 46. | Metro Spinning Ltd.                    | 29.12.07 | 12% | -   | 30.06.07 |
| 47. | Mithun Knitting and Dyeing (CEPZ) Ltd. | 27.12.07 | 10% | -   | 30.06.07 |
| 48. | Modern Dyeing & Screen Printing Ltd.   | 26.12.07 | 4%  | -   | 30.06.07 |
| 49. | Prime Textile spinning Mills Ltd.      | 29.12.07 | 10% | -   | 30.06.07 |
| 50. | Rahim Textile Mills Ltd.               | 18.12.07 | 10% | -   | 30.06.07 |
| 51. | Saiham Textile Mills Ltd.              | 26.12.07 | 10% | -   | 30.06.07 |

| Sl. No.                              | Name                                     | Date of AGM | Cash Dividend   | Stock Dividend | Year End    |
|--------------------------------------|--|-------------|---|----------------|-------------|
| 52.                                  | Sajib Knitwear and Garments Ltd.         | 24.12.07    | -   | -              | 30.06.07    |
| 53.                                  | Sreepur Textile Mills Ltd.               | 31.12.07    | -   | -              | 31.12.05,06 |
| 54.                                  | Tallu Spinning Mills Ltd.                | 27.12.07    | -   | -              | 30.06.07    |
| <b>Pharmaceuticals and Chemicals</b> |  |             |   |                |             |
| 55.                                  | Al-Amin Chemicals Industries Ltd.        | 26.12.07    | -   | -              | 30.06.07    |
| 56.                                  | Keya Cosmetics Ltd.                      | 28.12.07    | 30%   | -              | 30.06.07    |
| 57.                                  | Keya Detergent Ltd.                      | 28.12.07    | 21%   | -              | 30.06.07    |
| 58.                                  | Kohinoor Chemical Co. (BD) Ltd.          | 28.12.07    | 18%   | -              | 30.06.07    |
| 59.                                  | Libra Infusions Ltd.                     | 27.12.07    | 17.50%  | -              | 30.06.07    |
| 60.                                  | Imam Button Industries Ltd.              | 26.12.07    | 10%   | -              | 30.06.07    |
| 61.                                  | Pharma Aids Ltd.                         | 30.12.07    | 25%   | -              | 30.06.07    |
| <b>Paper and Printing</b>            |  |             |   |                |             |
| 62.                                  | Azadi Printers Ltd.                      | 25.12.07    | 10%   | -              | 30.06.07    |
| 63.                                  | Bangladesh Monospool Paper Mgf. Co. Ltd. | 27.12.07    | -   | -              | 30.06.07    |
| 64.                                  | Hakkani Pulp & Paper Mills Ltd.          | 13.12.07    | 8% (for general public) & 6% (for sponsors)           | -              | 30.06.07    |
| 65.                                  | Padma Printers and Colors Ltd.           | 13.12.07    | -   | -              | 31.12.06    |
| 66.                                  | Bangladesh Hotels Ltd.                   | 10.12.07    | 35% (for ordinary shares) & 8% (for preference share) | -              | 31.12.06    |
| 67.                                  | Eastern Housing Ltd.                     | 12.12.07    | 15%   | -              | 31.07.07    |
| <b>Cement</b>                        |  |             |   |                |             |
| 68.                                  | Modern Cement Ltd.                       | 17.12.07    | -   | -              | 30.06.07    |
| <b>Tannery Industries</b>            |  |             |   |                |             |
| 69.                                  | Bata Shoe Co. (Bangladesh) Ltd.          | -           | 145%  | -              | 31.12.06    |
| 70.                                  | Phoenix Leather Complex Ltd.             | 27.12.07    | -   | -              | 30.06.07    |
| 71.                                  | Samata Leather Complex Ltd.              | 26.12.07    | -   | -              | 30.06.07    |
| <b>Insurance</b>                     |  |             |   |                |             |
| 72.                                  | Asia Pacific General Insurance Co. Ltd.  | 27.10.07    | 10%   | -              | 31.12.06    |
| 73.                                  | Peoples Insurance Co. Ltd.               | 25.10.07    | -   | -              | 31.12.06    |

| Sl. No.                 | Name                                 | Date of AGM       | Cash Dividend | Stock Dividend | Year End |
|-------------------------|--------------------------------------|-------------------|---------------|----------------|----------|
| 74.                     | Popular Life Insurance Co. Ltd.      | 25.10.07          | 12%           | -              | 31.12.06 |
| 75.                     | Prime Islami Life Insurance Co. Ltd. | 28.11.07          | -             | -              | 31.12.06 |
| 76.                     | Sonar Bangla Insurance Ltd.          | 25.10.07          | 7%            | -              | 31.12.06 |
| <b>Fuel &amp; Power</b> |                                      |                   |               |                |          |
| 77.                     | Summit Power Ltd.                    | 12.12.07<br>(EGM) | -             | -              | 31.12.06 |
| <b>IT Sector</b>        |                                      |                   |               |                |          |
| 78.                     | Agni Systems Ltd.                    | 26.11.07          | -             | 12%            | 30.06.07 |
| 79.                     | BDCOM Online Ltd.                    | 10.12.07          | -             | 12%            | 30.06.07 |
| 80.                     | Daffodil Computers Ltd.              | 31.12.07          | -             | 10%            | 30.06.07 |
| <b>Ceramics Sector</b>  |                                      |                   |               |                |          |
| 81.                     | Fu-Wang Ceramic Ltd.                 | 18.12.07          | 5%            | -              | 30.06.07 |
| 82.                     | Monno Ceramics Industries Ltd.       | 23.12.07          | 10%           | -              | 30.06.07 |
| 83.                     | Standard Ceramic Industries Ltd.     | 27.12.07          | 10%           | -              | 30.06.07 |
| <b>Investment</b>       |                                      |                   |               |                |          |
| 84.                     | Investment Corporation of Bangladesh | 30.10.07          | 14%           | -              | 30.06.07 |
| <b>Jute</b>             |                                      |                   |               |                |          |
| 85.                     | Jute Spinners Ltd.                   | 26.12.07          | 20%           | -              | 30.06.07 |
| 86.                     | Northern Jute Manufacturing Co. Ltd. | 29.12.07          | -             | -              | 30.06.07 |
| 87.                     | Sonali Aansh Industries Ltd.         | 27.12.07          | -             | -              | 30.06.07 |
| <b>Miscellaneous</b>    |                                      |                   |               |                |          |
| 88.                     | Miracle Industries Ltd.              | 12.12.07          | 5%            | 10%            | 30.06.07 |
| 89.                     | The Engineers Ltd.                   | 29.12.07          | 25%           | -              | 31.08.07 |
| 90.                     | Usmania Glass Sheet Factory Ltd.     | 28.12.07          | 60%           | -              | 30.06.07 |
| 91.                     | Bangla Process Industries Ltd.       | 02.12.07          | 6%            | -              | 30.06.07 |

During October-December 2007 Quarter the following complaints were lodged with the Commission and measures taken by the Commission on the same:

### Complaints Against Listed Companies:

| Nature of Complaints                          | Received During the Quarter | Under Process | Referred for Enforcement Action | Resolved  |
|---|-----------------------------|---------------|---------------------------------|-----------|
| Non payment or delay in payment of dividend   | 6                           | 1             | -                               | 5         |
| Non payment of debenture installment          | 1                           | -             | -                               | 1         |
| Regarding transfer of shares                  | 1                           | 1             | -                               | -         |
| Non receipt of letter of Right share offering | -                           | -             | -                               | -         |
| Regarding refund warrant                      | 1                           | -             | -                               | 1         |
| Non demat of shares                           | 1                           | -             | -                               | 1         |
| Miscellaneous                                 | 12                          | 1             | 4                               | 7         |
| <b>Total</b>                                  | <b>22</b>                   | <b>3</b>      | <b>4</b>                        | <b>15</b> |

### Complaints Against Stock Broker/Dealer

| Nature of Complaints                                | Received During the Quarter | Under Process | Referred to DSE/CSE for Action | Referred for Enforcement Action | Resolved |
|---|-----------------------------|---------------|--------------------------------|---------------------------------|----------|
| Non delivery of shares                              | 1                           | 1             | -                              | -                               | -        |
| Non payment of clients money                        | -                           | -             | -                              | -                               | -        |
| Regarding transfer of shares in the link BO account | -                           | -             | -                              | -                               | -        |
| Miscellaneous                                       | 3                           | 1             | -                              | -                               | 2        |
| <b>Total</b>  | <b>4</b>                    | <b>2</b>      | <b>-</b>                       | <b>-</b>                        | <b>2</b> |



## CENTRAL DEPOSITORY SYSTEM DEPARTMENT

In October-December 2007 Quarter, the following companies have joined Central Depository Bangladesh Ltd. (CDBL):

| Sl. No. | Name of the Company                       | Joining Date     |
|---------|---|------------------|
| 01.     | Trust Bank Ltd. (IPO)                     | 01 October 2007  |
| 2.      | Paramount Insurance Company Ltd. (IPO)    | 25 October 2007  |
| 3.      | Usmania Glass Sheet Factory Ltd.          | 31 October 2007  |
| 4.      | Federal Insurance Company Ltd.            | 31 October 2007  |
| 5.      | IFIC Bank Ltd.                            | 01 November 2007 |
| 6.      | City General Insurance Company Ltd. (IPO) | 12 November 2007 |
| 7.      | Islami Bank Mudaraba Bond (RPO)           | 25 November 2007 |

At the end of this period the total number of companies and bond under CDBL stands at 134 (One hundred thirty four).



## REGISTRATION DEPARTMENT

### Issuance and Renewal of Stock Broker Registration Certificate:

During October-December 2007 Quarter, the Commission issued stock broker registration certificate in favor of a member of Dhaka Stock Exchange Ltd. and a member of Chittagong Stock Exchange Ltd. under সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০. Moreover, the Commission renewed 21 stock broker/stock dealer registration certificates of Dhaka Stock Exchange Ltd. and 16 stock broker/stock dealer registration certificates of Chittagong Stock Exchange Ltd. respectively during the said period.

### Dhaka Stock Exchange:

| Sl. No. | Name & Address of the Stockbroker                                      | Membership No. | Registration Certificate No. & Issue Date     |
|---------|--|----------------|---|
| 01.     | National Bank Limited,<br>Head Office, 18 Dilkusha C/A,<br>Dhaka-1000. | DSE-203        | Reg.-3.1/DSE-203/2007/155<br>Date: 24.10.2007 |

### Chittagong Stock Exchange:

| Sl. No. | Name & Address of the Stockbroker  | Membership No. | Registration Certificate No. & Issue Date     |
|---------|--|----------------|---|
| 01.     | Mirpur Securities Limited<br>304/1 C Ahmednagar, Paikpara<br>Mirpur-1, Dhaka-1216. | CSE-050        | Reg.-3.2/CSE-050/2007/140<br>Date: 22.10.2007 |

### Conversion into Corporate Body:

During the Quarter, the Commission approved stockbroker registration certificate in favor of 16 members of Dhaka Stock Exchange Limited who have converted into corporate entities from proprietorship concerns under সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০:

**Dhaka Stock Exchange:**

| Sl. No. | Name & Address of the Stock Broker  | Membership No. | Registration Certificate No. & Issue Date      |
|---------|---|----------------|--|
| 01.     | A.B.S. Safder & Company Limited<br>Room#626 (5th Floor),<br>9/E Motijheel C/A, Dhaka-1000.            | DSE-124        | Reg.- 3.1/DSE-124/2007/153<br>Date: 02.10.2007 |
| 02.     | S & H Equities Limited<br>Room # 723, DSE Building,<br>9/F Motijheel, Dhaka-1000.                     | DSE-02         | Reg.- 3.1/DSE-02/2007/154<br>Date: 04.10.2007  |
| 03.     | Khurshid Alam Securities Limited<br>Room#204, DSE Building,<br>9/F Motijheel C/A, Dhaka-1000.         | DSE-167        | Reg.- 3.1/DSE-167/2007/156<br>Date: 04.11.2007 |
| 04.     | Jahan Securities Limited<br>Room#213, DSE Building,<br>9/F Motijheel C/A, Dhaka-1000.                 | DSE-195        | Reg.- 3.1/DSE-195/2007/157<br>Date: 14.11.2007 |
| 05.     | S.B. Securities Limited<br>Room#410, DSE Building,<br>9/F Motijheel C/A, Dhaka-1000.                  | DSE-51         | Reg.- 3.1/DSE-51/2007/158<br>Date: 14.11.2007  |
| 06.     | B & B. Enterprise Limited<br>Room#718, DSE Building (6th Floor),<br>9/F Motijheel C/A, Dhaka-1000.    | DSE-34         | Reg.- 3.1/DSE-34/2007/159<br>Date: 14.11.2007  |
| 07.     | Ali Securities Co. Limited<br>608 Stock Exchange Building,<br>9/F Motijheel C/A, Dhaka-1000.          | DSE-105        | Reg.- 3.1/DSE-105/2007/160<br>Date: 18.11.2007 |
| 08.     | Moshihor Securities Limited<br>Dilkusha Centre (13 & 14th Floor),<br>Suite # 1304 & 1401, Dhaka-1000. | DSE-134        | Reg.- 3.1/DSE-134/2007/161<br>Date: 22.11.2007 |
| 09.     | Aloco Securities Limited<br>Room # 520 (4th Floor),<br>9/E Motijheel C/A, Dhaka-1000.                 | DSE-139        | Reg.- 3.1/DSE-139/2007/162<br>Date: 26.11.2007 |
| 10.     | ASENZ Securities Limited<br>House # 8A, Road # 50,<br>Gulshan-2, Dhaka-1212.                          | DSE-65         | Reg.- 3.1/DSE-65/2007/163<br>Date: 26.11.2007  |
| 11.     | Murshed Securities Limited<br>Room # 708 & 710, DSE Building,<br>9/F Motijheel C/A, Dhaka-1000.       | DSE-116        | Reg.- 3.1/DSE-116/2007/164<br>Date: 26.11.2007 |
| 12.     | HAC Securities Limited<br>4 Motijheel C/A (1st Floor), Dhaka-1000.                                    | DSE-74         | Reg.- 3.1/DSE-74/2007/165<br>Date: 05.12.2007  |
| 13.     | Alhaj Securities & Stocks Limited<br>Room # 306, DSE Building,<br>9/F Motijheel C/A, Dhaka-1000.      | DSE-93         | Reg.- 3.1/DSE-93/2007/166<br>Date: 13.12.2007  |

| Sl. No. | Name & Address of the Stock Broker  | Membership No. | Registration Certificate No. & Issue Date      |
|---------|---|----------------|--|
| 14.     | Kazi Showeb Rashid Capital Limited<br>Room # 503, DSE Building,<br>9/F Motijheel C/A, Dhaka-1000. | DSE-108        | Reg.- 3.1/DSE-108/2007/167<br>Date: 13.12.2007 |
| 15.     | Kazi Equities Limited<br>Room # 406, DSE Building,<br>9/F Motijheel C/A, Dhaka-1000.              | DSE-135        | Reg.- 3.1/DSE-135/2007/168<br>Date: 13.12.2007 |
| 16.     | A. B. Ispahani Securities Limited<br>Ispahani Building,<br>14-15 Motijheel C/A, Dhaka-1000.       | DSE-01         | Reg.-3.1/DSE-01/2007/169<br>Date: 17.12.2007   |

### Issuance and Renewal of Authorized Representative Registration Certificates:

During the Quarter, the Commission issued 147 (DSE 145 and CSE 2) authorized representative registration certificates to the applicants of Dhaka Stock Exchange Ltd. and Chittagong Stock Exchange Ltd. respectively under সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০ and renewed authorized representative registration certificates of 66 DSE and 02 CSE member's authorized representatives.

### Issuance and Renewal of Depository Participant Registration Certificate:

During the Quarter, the Commission issued the following seven depository participant registration certificates under ডিপজিটরি (ব্যবহারিক) প্রবিধানমালা, ২০০৩ and renewed 33 depository participant registration certificates.

### List of Depository Participants:

| DP No | Name, Address & Contacts of DP  | Membership No. | Types of DP  | Registration Certificate No. & Issue Date | Expiry Date |
|-------|---|----------------|--------------|---|-------------|
| 01.   | Hallmark Securities Limited<br>309 Paradogar, Konapara Bazar<br>P.S-Jatrabari, Dhaka-1204<br>Ph: 7545679,7553940        | CSE # 117      | Full Service | SEC/Reg./CDBL-DP-252<br>Date:28.10.2007   | 07.04.2008  |
| 02.   | Harun Securities Limited.<br>Room - 606, 9/F Motijheel C/A,<br>Dhaka-1000.<br>Ph: 9553543, 7161559                      | DSE # 05       | Full Service | SEC/Reg./CDBL-DP-253<br>Date:26.11.2007   | 29.09.2008  |
| 03.   | Mirpur Securities Limited.<br>House # 304/1-C,<br>Ahmed Nagar, Paikpara,<br>Mirpur-1, Dhaka-1216<br>Ph: 8016550,8051262 | CSE # 50       | Full Service | SEC/Reg./CDBL-DP-254<br>Date:26.11.2007   | 21.10.2008  |

| DP No | Name, Address & Contacts of DP  | Membership No. | Types of DP  | Registration Certificate No. & Issue Date | Expiry Date |
|-------|---|----------------|--------------|---|-------------|
| 04.   | Ten Stars (Pvt) Limited<br>840/838 Dhaniapara,<br>D. T Road, Chittagong<br>Ph: 724366,718296,714915<br>Fax: 710516/710215   | CSE # 37       | Full Service | SEC/Reg./CDBL-DP-255<br>Date:04.12.2007   | 01.07.2008  |
| 05.   | Daulatunnesa Equities Limited<br>Mehnaz Monsur Tower<br>(2nd Floor), House 11/A,<br>Road 130, Gulshan-1,<br>Dhaka-1204. Ph: 8833413-21,<br>Fax: 8833423             | DSE # 37       | Full Service | SEC/Reg./CDBL-DP-256<br>Date:04.12.2007   | 22.03.2008  |
| 06.   | SB Securities Limited<br>Room # 410 (3rd Floor)<br>DSE Bhaban,<br>9/F Motijheel C/A,<br>Dhaka-1000.<br>Ph: 9553416, 9567915   | DSE # 51       | Full Service | SEC/Reg./CDBL-DP-257<br>Date:19.12.2007   | 13.11.2008  |
| 07.   | AM Securities & Financial<br>Services Limited<br>111 Bir Uttam C R Datta<br>Road, (Sonargaon Road),<br>Level-3, Dhaka-1205.<br>Ph: 9669570, 8618079<br>Fax: 9668638 | DSE # 205      | Full Service | SEC/Reg./CDBL-DP-258<br>Date:19.12.2007   | 25.08.2008  |

### Merchant Banker Registration Certificate:

During the Quarter, the Commission decided to grant a merchant banker registration certificate in favor of Trust Bank Limited.

### Trustee of Proposed Securitized Zero Coupon Bond of IDLC Finance Ltd.:

During the Quarter, the Commission accorded permission to ICB to act as the Trustee of the proposed securitized zero coupon bond of IDLC Finance Ltd.



## SEC SURVEILLANCE DEPARTMENT

### Daily Market Surveillance:

As part of daily market surveillance, the Surveillance Department watches and analyzes the trading activities in both the stock exchanges through non trading on-line surveillance system in order to find out suspicious trading or irregularities and market misconducts, if any. At the end of trading, daily, weekly and monthly trade reports are prepared by pointing out market conditions and submitted to the Chairman, Members and EDs of the Commission.

### Investigation and Enquiry:

To establish fair-trading and confidence of investors in the securities market it is necessary to ensure proper compliance of securities related laws, rules and regulations through inspection and investigation in suspicious and manipulative cases. In this regard, the following stock-brokers/stock-dealers activities have been investigated by SEC as well as through Dhaka Stock Exchange and Chittagong Stock Exchange:

| Nature of Enquiry & Investigation  | Investigation & Enquiry Against   |
|--|---|
| Activities of Merchant Bank and Portfolio Manager                                      | Lanka Bangla Finance Limited<br>Mercantile Bank Limited<br>IDLC Finance Limited |
| In order to ensure proper compliance of securities related laws, rules and regulations | NCC Bank Limited (Stock Broker of DSE)<br>(DSE Membership # 61)                 |
| In order to ensure proper compliance of securities related laws, rules and regulations | Dhaka Bank Limited (Stock Broker of DSE)<br>(DSE Membership # 193)              |
| Activities of DSE regarding approval of gift shares                                    | Dhaka Stock Exchange Ltd.   |

### Regular Inspection:

During the Quarter, as part of regular monthly inspection, SEC's inspection team visited and inspected the following six stock-brokers / stock-dealers offices of DSE and CSE to examine books and records in order to ensure proper compliance of securities related laws, rules and regulations:

| Nature of Inspection                                    | Inspection Against  |
|---|---|
| Monthly regular inspection for the month October, 2007  | Square Securities Mgt Ltd.<br>(DSE Membership # 076)        |
|   | National Broker Ltd.<br>(CSE Membership # 068)              |
| Monthly regular inspection for the month November, 2007 | Surma Securities Holding Co. Ltd.<br>(DSE Membership # 111) |
|   | 3A Company Ltd.<br>(CSE Membership # 044)                   |
| Monthly regular inspection for the month December, 2007 | Equity Partners Securities Ltd.<br>(DSE Membership # 011)   |
|   | T.K. Shares & Securities Ltd.<br>(CSE Membership # 069)     |



## SEC ENFORCEMENT ACTIONS

The following enforcement actions against registered stock-dealers, stock-brokers and issuer companies were taken by the Commission during October-December 2007 for non-compliance of the securities laws.

### Against the Issuer Company:

During October-December 2007, the Commission imposed penalty upon eight issuer companies for non-compliance of securities laws like failure to submit explanation regarding audited financial statements, non-transferring of shares, non-submission of half yearly financial statements and non-preparation of financial statements in accordance with International Accounting Standard (IAS).

The Commission issued a directive to an issuer company to submit the corrected audited yearly financial statements for the year ended on 31 August, 2006 complying with relevant securities related rules.

The Commission also issued warning letters to 14 issuer companies for their delayed submission of half yearly and audited financial statements, non-complying BAS-34 of IAS in the half yearly financial statements, retention of auditor for consecutive period exceeding three years, etc.

### Stock Broker/Stock Dealer/ Merchant Banker/Authorized Representatives:

The Commission issued warning letter to a stock broker and to Chittagong Stock Exchange Ltd. for giving dissatisfactory explanation against the defaulted matters.

A brief description of enforcement actions taken by the Commission during October-December 2007 is furnished below:

| Nature of Actions | Issuer Company/<br>Statutory Auditor | Stock Broker/Dealer/<br>Merchant Banker/<br>Authorized<br>Representatives | Depository<br>Participants |
|-------------------|--------------------------------------|---|----------------------------|
| Penalty           | 8                                    | -   | -                          |
| Directives        | 1                                    | -   | -                          |
| Warning           | 9                                    | 4   | 1                          |



## LEGAL SERVICES DEPARTMENT

Total 174 cases filed by or against SEC are pending in different courts. Name of the courts are given below along with number of pending cases:

| Sl. No.            | Name of the Court                           | Number of Cases     |            |
|--------------------|---|---------------------|------------|
| 01.                | Supreme Court of Bangladesh                 | Appellate Division  | 04         |
|                    |   | High Court Division | 73         |
| 02.                | Metropolitan Session Judge Court, Dhaka     |                     | 06         |
| 03.                | 5th Joint Dist. Judge Court, Dhaka          |                     | 08         |
| 04.                | 4th Asst. Judge Court, Dhaka                |                     | 02         |
| 05.                | Chief Metropolitan Magistrate Court, Dhaka. |                     | 07         |
| 06.                | General Certificate Court, Dhaka.           |                     | 74         |
| <b>Total Cases</b> |   |                     | <b>174</b> |

- Out of total 15 Share Scam cases of 1996, two cases are now pending before the Honorable Supreme Court, Appellate Division, nine cases before the Honorable Supreme Court, High Court Division and rest four cases are pending before the Metropolitan Session Judge Court, Dhaka.
- Under securities law seven Certificate cases have been filed against the directors of seven companies to recover the penalty imposed upon them by the Commission during this Quarter.



## NEW ACTS/RULES/REGULATIONS/AMENDMENTS/ ORDER/NOTIFICATIONS

No. SEC/SRMID/94-231/1533

19 November 2007

### Directive

The Securities and Exchange Commission, in exercise of the power conferred on it under section 20A of the Securities and Exchange Ordinance, 1969 (Ordinance No. XII of 1969), hereby directs the stock dealers/stock brokers of Dhaka Stock Exchange and Chittagong Stock Exchange to immediately stop providing or disbursing of any further loan or credit facilities to their clients under Margin Rules, 1999, until further order.

This directive has been issued in the interest of securities markets and investors in securities which shall be effective for the transactions from 20<sup>th</sup> November 2007.

By order of the Securities and Exchange Commission

Mohammad Abdul Hannan Zoarder  
Executive Director

### Distribution:

CEO's of DSE/CSE- With the request to inform the stock dealers/stock brokers immediately for compliance.

All Members of SEC

All Departmental Heads of SEC

Chairman's Office

} For Information

No. SEC/SRMID/94-231/1534

19 November 2007

**Directive**

The Securities and Exchange Commission, in exercise of the power conferred on it under section 20A of the Securities and Exchange Ordinance, 1969 (Ordinance No. XII of 1969), read with নির্দেশনা নং-14 of বিধি ৩৬ of সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, 1996, hereby directs the merchant bankers, including the portfolio managers, registered under the সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, 1996 to immediately stop providing or disbursing of any further margin loan or credit facilities to their clients under the said বিধিমালা, until further order.

This directive has been issued in the interest of securities markets and investors in securities which shall be effective for the transactions from 20<sup>th</sup> November 2007.

By order of the Securities and Exchange Commission

Mohammad Abdul Hannan Zoarder  
Executive Director

**Distribution:**

All Merchant Bankers- For ensuring compliance  
All Members of SEC  
All Departmental Heads of SEC } For Information  
Chairman's Office



No. SEC/SRMID/94-231/1547

25 November 2007

**Directive**

The Securities and Exchange Commission, in exercise of the power conferred on it under section 20A of the Securities and Exchange Ordinance, 1969 (Ordinance No. XII of 1969), read with নির্দেশনা নং-14 of বিধি 36 of সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (মার্চেন্ট ব্যাংকার ও পোর্টফোলিও ম্যানেজার) বিধিমালা, 1996, hereby rescinds its previous directive reference No. SEC/SRMID/94-231/1534 dated 19 November, 2007.

By order of the Securities and Exchange Commission

Mohammad Abdul Hannan Zoarder  
Executive Director

**Distribution:**

All Merchant Bankers- For ensuring compliance  
All Members of SEC  
All Departmental Heads of SEC  
Chairman's Office } For Information

No. SEC/SRMID/94-231/1559

03 December 2007

**Directive**

The Securities and Exchange Commission, in exercise of the power conferred on it under section 20A of the Securities and Exchange Ordinance, 1969 (Ordinance No. XII of 1969), hereby rescinds its previous directive No. SEC/SRMID/94-231/1533 dated 19 November 2007 issued to stop loan or credit facilities to the clients of stock dealers/stock brokers.

This directive has been issued in the interest of securities markets and investors in securities which shall be effective for the transactions from 04 December 2007.

By order of the Commission

(Saleh Ahmed Chowdhury)  
Acting Chairman

**Distribution:**

1. Chief Executive Officer-DSE,  
CSE: With request to inform the  
stock dealers/stock brokers  
immediately for compliance
  2. All Members, SEC
  3. All Departmental Heads, SEC
  4. Chairman's Office, SEC
- } For Information



## DSE & CSE SCENARIO

### Capital Market:

As of 31 December 2007 the total issued capital of all listed securities including treasury bonds of Dhaka Stock Exchange was Tk. 2,14,472 million (US\$ 3,127 million) which was Tk. 1,99,996 million (US\$ 2,910.73 million) on 30 September 2007. In Chittagong Stock Exchange the total issued capital on 31 December 2007 was Tk. 89,173.87 million (US\$ 1,311.38 million) which was Tk. 83,420 million (US\$ 1,226.77 million) on 30 September 2007.

### Stock Market Operation:

During October-December 2007 Quarter the total turnover in Dhaka Stock Exchange was 662 million securities while the total amount traded on Dhaka Stock Exchange during the same period was Tk.1,02,588.75 million (US\$ 1,495.90 million)

In the same period the total turnover in Chittagong Stock Exchange was 143.98 million securities while the total amount traded on Chittagong Stock Exchange during the same period was Tk. 15,876.06 million (US\$ 231.50 million) during this quarter.

### Market Capitalization:

Total market capitalization of all securities including treasury bonds listed on the Dhaka Stock Exchange was Tk.7,53,955 million (US\$ 10,994 million) as on 31 December 2007 compared to Tk. 6,28,671 million (US\$ 9,149.63 million) as on 30 September 2007.

In the Chittagong Stock Exchange total market capitalization of all securities was Tk. 6,12,582 million (US\$ 9008.56 million) as on 31 December 2007 compared to Tk. 4,90,519 million (US\$ 7,213.51 million) as on 30 September 2007.

### Share Price Index:

DSE all share price index stood at 2,535.96 on 31 December 2007 compared to 2,108.49 on 30 September 2007. The CSE all share price index stood at 7,657.06 on 31 December 2007 while it was 6,519.60 on 30 September 2007. The index bases are 100 and 1000 for DSE and CSE respectively.

(1 US\$ =Tk. 68.58 For October-December 2007 Quarter)

# Bangladesh Capital Market Summary

As on 31 December 2007

| Indicators                                     |      | Dhaka Stock Exchange | Chittagong Stock Exchange |
|--|------|----------------------|---------------------------|
| No. of companies                               |      | 266                  | 211                       |
| No. of mutual funds                            |      | 14                   | 14                        |
| No. of debentures                              |      | 8                    | 2                         |
| No. of treasury bonds                          |      | 61                   | -                         |
| No. of corporate bonds                         |      | 1                    | -                         |
| <b>Total No. of Listed Securities</b>          |      | <b>350</b>           | <b>227</b>                |
| <i>Figures in million</i>                      |      |                      |                           |
| No. of shares of all listed companies          |      | 1,886.00             | 1,747.00                  |
| No. of certificates of all listed mutual funds |      | 190.00               | 190.28                    |
| No. of debentures of all listed debentures     |      | 0.41                 | 3.05                      |
| No. of all listed govt. T-bonds                |      | 1.21                 | -                         |
| No. of all listed corporate bonds              |      | 3.00                 | -                         |
| <b>Total No. of Tradable Securities</b>        |      | <b>2,080.62</b>      | <b>1,940.33</b>           |
| <i>Figures in million</i>                      |      |                      |                           |
| Issued capital of all companies                | Tk.  | 88,063.00            | 85,295.59                 |
|  | US\$ | 1,284.00             | 1,254.35                  |
| Issued capital of all mutual funds             | Tk.  | 866.00               | 865.50                    |
|  | US\$ | 13.00                | 12.73                     |
| Issued debentures                              | Tk.  | 140.00               | 3,012.78                  |
|  | US\$ | 2.00                 | 44.31                     |
| Issued govt. T-bonds                           | Tk.  | 1,22,403.00          | -                         |
|  | US\$ | 1,785.00             | -                         |
| Issued capital corporate bonds                 | Tk.  | 3,000.00             | -                         |
|  | US\$ | 44.00                | -                         |
| Total issued capital                           | Tk.  | 2,14,472.00          | 89,173.87                 |
|  | US\$ | 3,127.33             | 1,311.38                  |
| <i>Figures in million</i>                      |      |                      |                           |
| Total Market Capitalization                    | Tk.  | 7,53,955.00          | 6,12,582                  |
|  | US\$ | 10,994.00            | 9,008.56                  |
| All Share price index                          |      | 2,535.96             | 7,657.06                  |

### DSE Operational Statistics October-December 2007

| Trading Month | All Share Price Index | Market Capitalization in Million | No. of Trading Days | Turnover of Securities in Million (No.) |               | Traded Amount in Million         |                            |
|---------------|-----------------------|----------------------------------|---------------------|---|---------------|----------------------------------|----------------------------|
|               |                       |                                  |                     | Monthly                                 | Daily Average | Monthly                          | Daily Average              |
| October       | 2,415.36              | Tk. 6,94,817<br>US\$ 10,131.48   | 18                  | 330                                     | 18            | Tk 41,351.02<br>US\$ 602.96      | Tk 2,297.28<br>US\$ 33.50  |
| November      | 2,511.32              | Tk. 7,36,179<br>US\$ 10,734.60   | 20                  | 240                                     | 12            | Tk 41,328.78<br>US\$ 602.64      | Tk 2,066.44<br>US\$ 30.13  |
| December      | 2,535.96              | Tk. 7,42,195<br>US\$ 10,822.32   | 16                  | 93                                      | 6             | Tk. 19,908.95<br>US\$ 290.30     | Tk. 1,244.31<br>US\$ 18.14 |
| <b>Total</b>  |                       |                                  | 54                  | 662                                     | 12            | Tk. 1,02,588.75<br>US\$ 1,495.90 | Tk. 1,899.79<br>US\$ 21.10 |

\* Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months.

### CSE Operational Statistics October-December 2007

| Trading Month | All Share Price Index | Market Capitalization in Million | No. of Trading Days | Turnover of Securities in Million (No.) |               | Traded Amount in Million     |                         |
|---------------|-----------------------|----------------------------------|---------------------|---|---------------|------------------------------|-------------------------|
|               |                       |                                  |                     | Monthly                                 | Daily Average | Monthly                      | Daily Average           |
| October       | 7,355.9041            | Tk. 5,84,883<br>US\$ 8,528.48    | 18                  | 63.31                                   | 3.52          | Tk. 6,542.94<br>US\$ 95.40   | Tk. 363.50<br>US\$ 5.30 |
| November      | 7,609.2461            | Tk 6,10,907<br>US\$ 8,907.95     | 20                  | 56.89                                   | 2.84          | Tk 6,351.82<br>US\$ 92.62    | Tk. 317.59<br>US\$ 4.63 |
| December      | 7,657.0590            | Tk 6,13,175<br>US\$ 8,941.02     | 16                  | 23.78                                   | 1.49          | Tk 2,981.30<br>US\$ 43.47    | Tk. 186.33<br>US\$ 2.72 |
| <b>Total</b>  |                       |                                  | 54                  | 143.98                                  | 2.67          | Tk. 15,876.06<br>US\$ 231.50 | Tk. 294.00<br>US\$ 4.29 |

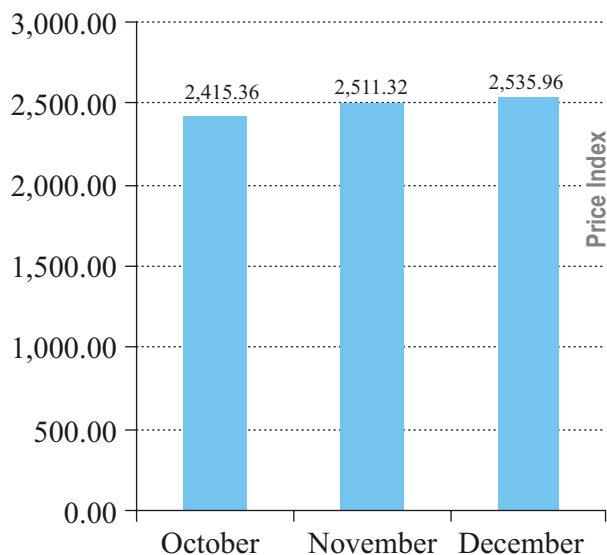
\* Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months.



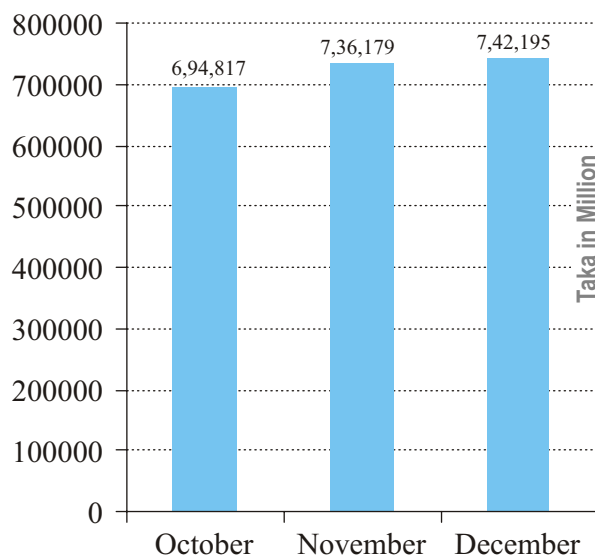
# DSE Market Scenario

October - December 2007

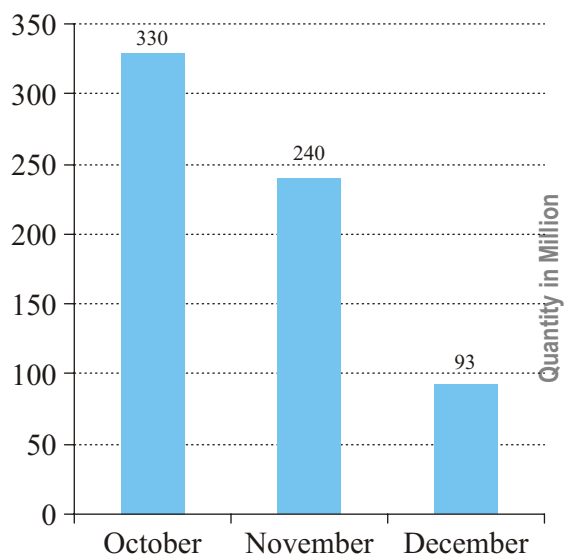
**All Share Price Index**



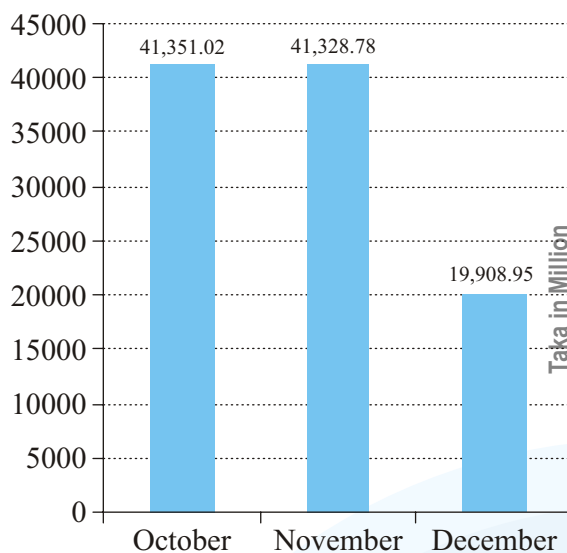
**Market Capitalization**



**Total Turnover (Volume) of Securities**



**Total Turnover (Value) of Securities**



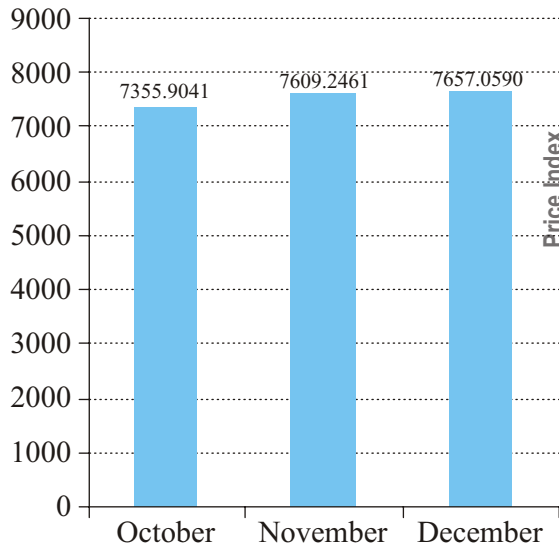
Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months. For more information please visit DSE web site: [www.dsebd.com](http://www.dsebd.com)



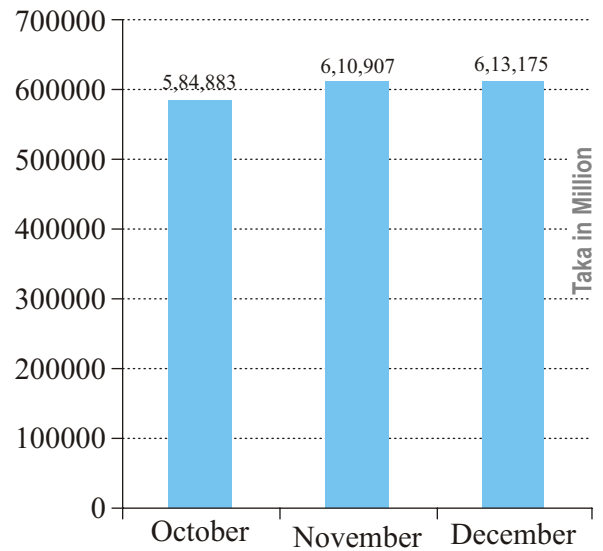
# CSE Market Scenario

October - December 2007

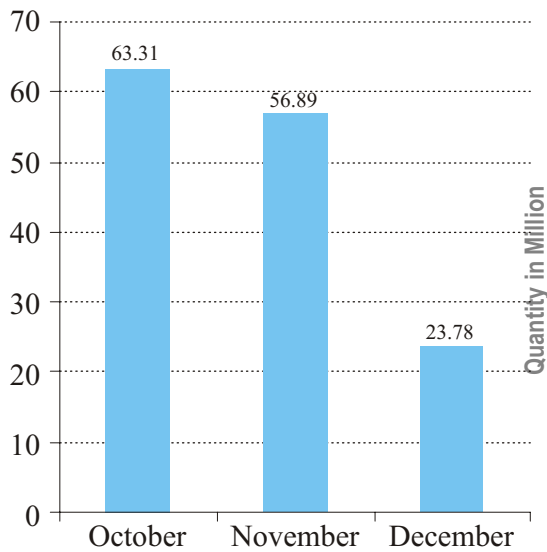
### All Share Price Index



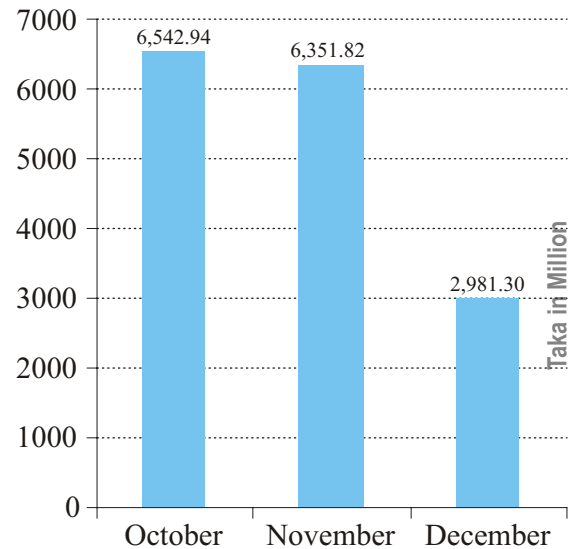
### Market Capitalization



### Total Turnover (Volume) of Securities



### Total Turnover (Value) of Securities



Index and Market Capitalization of the last trading day of the months have been considered as relevant figures of respective months. For more information please visit CSE web site: [www.csebd.com](http://www.csebd.com)



For Information on Securities and Exchange Commission

# VISIT



## Our Reference Room

At the 20th floor of Jiban Bima Tower, 10 Dilkusha C/A, Dhaka-1000

Where you will find:

- › SEC publications › Prospectus of the listed companies
- › Published Annual Reports of the listed companies › Published Half-yearly Reports of the listed companies
- › Securities laws, rules & regulations/gazette notifications › Local/foreign publications on capital market

## Facilities we offer:

- › Reading room for general public open during the office hours weekdays
- › Subscription of SEC publications
- › Photocopy facility of relevant documents available in the library

## Web site

Please visit our web-site at [www.secbd.org](http://www.secbd.org) for detailed information

Where you will find:

- › What's New › About SEC › Stock Exchanges
- › Companies › SEC Laws › Prospectus
- › Contact Us › Press Release › Annual Report
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